

Anticipated Classification  
of this Application:  
Class \_\_\_\_\_ Subclass \_\_\_\_\_

Prior Application:  
Examiner D. Romeo  
Group Art Unit 1646

Attorney's  
Docket  
No. 43771-A-PCT-US-Y/  
JPW/EMW

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

January 16, 2001

S I R:

This is a request for filing a \_\_\_\_\_ CONTINUATION

X DIVISIONAL \_\_\_\_\_ CONTINUATION-IN-PART application under

X 37 C.F.R. §1.53(b) \_\_\_\_\_ 37 C.F.R. §1.53(d), of pending prior application

Serial No. 09/268,202 filed on March 15, 1999 of

Riccardo Dalla-Favera and Raju S.K. Chaganti for  
Inventor(s)

CLONING AND USES OF THE GENETIC LOCUS bcl-6

Title of Invention

1. X Enclosed is a copy of the prior application, as originally  
filed and an affidavit or declaration verifying it as a  
true copy.

2. X A verified statement to establish small entity status under  
37 C.F.R. §1.9 and 1.27

\_\_\_\_\_ is enclosed.

X was filed in the prior application and such status  
is still proper and desired (37 C.F.R. §1.28(a)).

3. X The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE		FEE		
					SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
Total Claims	9-20	=	0	X	\$ 9.00	\$18.00	=	\$ 0	\$
Independent Claims	3-3	=	0	X	\$40.00	\$80.00	=	\$ 0	\$
Multiple Dependent Claims Presented: <u>      </u> Yes <u>  X  </u> No					\$135.00	\$270.00	=	\$ 0	\$
*If the different in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE			\$355.00	\$ 710.00
					TOTAL FEE			\$355.00	\$710.00

1c987 U.S. PTO  
09/761117



Riccardo Dalla-Favera and  
U.S. Serial No.: Not Yet Known  
Filed: Herewith  
Cont.Div.  
Page 2

4.   X   The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Account No. 03-3125.
- X   Any additional filing fees required under 37 C.F.R. §1.16.
- X   Any patent application processing fees under 37 C.F.R. §1.17.: \$355.00 filing fee.
- The issue fees set forth in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
5.   X   Three copies of this sheet are enclosed.
6.        A check in the amount of \$            is enclosed.
7.        Cancel claims                                 .
8.        Amend the specification by inserting before the first line the sentence: --This is a        continuation        division of application Serial No.                         , filed                         .--
9.   X     23   Sheet(s) of        informal   X   formal drawing(s) is/are enclosed.
10.        Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
11.        Priority of application No.                          filed on                          in                          (country) is claimed under 37 U.S.C. §119.
- The certified copy of the priority application has been filed in prior application Serial No.                         , filed                         .
12.   X   The prior application is assigned of record to The Trustees of Columbia University in the City of New York and Sloan-Kettering Institute for Cancer Research (copies are enclosed)
13.   X   A preliminary amendment is enclosed.
14.   X   The power of attorney in the prior application is to:

John P. White (Reg. No. 28,678); Thomas P. Moran (Reg. No. 16,579); Norman B. Zivin (Reg. No. 25,385); Ivan S. Kavrukov (Reg. No. 25,161); Christopher C. Dunham (Reg. No. 22,031); Thomas G. Carulli (Reg. No. 30,616); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691); Richard S. Milner (Reg. No. 33,970); Albert Wai-Kit Chan (Reg. No. 36,479); Kristina L. Konstas (Reg. No. 37,864); Robert T. Maldonado (Reg. No. 38,232); and Lewis J. Kreisler (Reg. No. 38,522);

- (a)   X   The power appears in the original papers in the prior application.
- (b)   X   Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- (c)   X   Address all future communications to:  
(May only be completed by applicant, or attorney or agent of record.)

John P. White

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

15.   X  

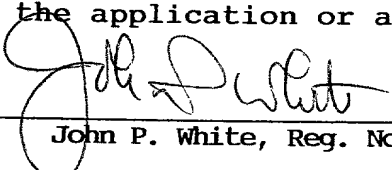
Also enclosed Express Mail Certificate of Mailing No. EL 628 788 139 US dated January 16, 2001, a computer diskette containing Sequence Listing, a Statement in Accordance, paper copy of Sequence Listing, and a loose set of figures.

16.   X  

I hereby verify that the attached papers are a true copy of prior application Serial No. 09/268,202 as originally filed on March 15, 1999.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

January 16, 2001  
Date

  
Signature John P. White, Reg. No. 28,678

       INVENTOR(S)  
       ASSIGNEE OF COMPLETE INTEREST  
  X   ATTORNEY OR AGENT OF RECORD  
       FILED UNDER 37 C.F.R. §1.34(a)

Address of Signator:

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

01/16/01  
jc975 U.S. PTO

01-17-01

BOX SEQ

A

43771-A-PCT-US-Y/JPW/EMW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Riccardo Dalla-Favera and Raju S.K. Chaganti

Serial No.: Not Yet Known  
(Divisional of U.S. Serial No. 09/268,202,  
filed March 15, 1999)

Filed : Herewith

For : CLONING AND USES OF THE GENETIC LOCUS bcl-6

1185 Avenue of the Americas  
New York, New York 10036  
January 16, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

Box: Patent Application

SIR:

EXPRESS MAIL CERTIFICATE OF MAILING  
FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EL 628 788 139 US

Date of Deposit: January 16, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, Box Patent Application.

Kermit V. Eubank  
Printed Name: Kermit V. Eubank S.

Respectfully submitted,

John P. White

John P. White  
Registration No. 28,678  
Attorney for Applicants  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
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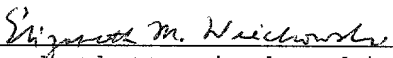
Box: Patent Application

SIR:

STATEMENT IN ACCORDANCE WITH 37 C.F.R. §1.821(f)

In accordance with 37 C.F.R. §1.821(f), I hereby certify that the enclosed computer readable form containing the nucleic acid and/or amino acid sequences required by 37 C.F.R. §1.821(f) has the same information which is submitted as the paper copy of the "Sequence Listing" attached hereto.

Respectfully submitted,

  
Elizabeth M. Wieckowski  
Registration No. 42,226  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, New York 10036  
(212) 278-0400

**Application  
for  
United States Letters Patent**

**To all whom it may concern:**

*Be it known that We,*

Riccardo Dalla-Favera and Raju S.K. Chaganti  
*have invented certain new and useful improvements in*

CLONING AND USES OF THE GENETIC LOCUS bcl-6

*of which the following is a full, clear and exact description.*